

The assessment of immune responses against biological drugs

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Mastering Immunogenicity
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The immune response to therapeutic proteins

Why is it important?

It is desirable that vaccines elicit an immune response

Immune responses against non-vaccine protein-drugs on the other hand are detrimental:

They affect both the **efficacy** and **safety** of the product

Result in **adverse** events which are occasionally life-threatening

Cause administration reactions such as **hypersensitivity**

Result in **reduced efficacy** and sometimes a complete lack of a clinical response

The immune response to therapeutic proteins

Why is it important to the FDA?

Guidance for Industry

Assay Development for Immunogenicity Testing of Therapeutic Proteins

DRAFT GUIDANCE

This guidance document is being distributed for comment purposes only.

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For questions regarding this draft document contact (CDER) Susan Kirshner at 301-827-1731, or (CBER) Office of Communication, Outreach, and Development at 301-827-1800.

U.S. Department of Health and Human Services
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December 2009
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The FDA has been regulating biotechnology-derived protein products since the 1980s

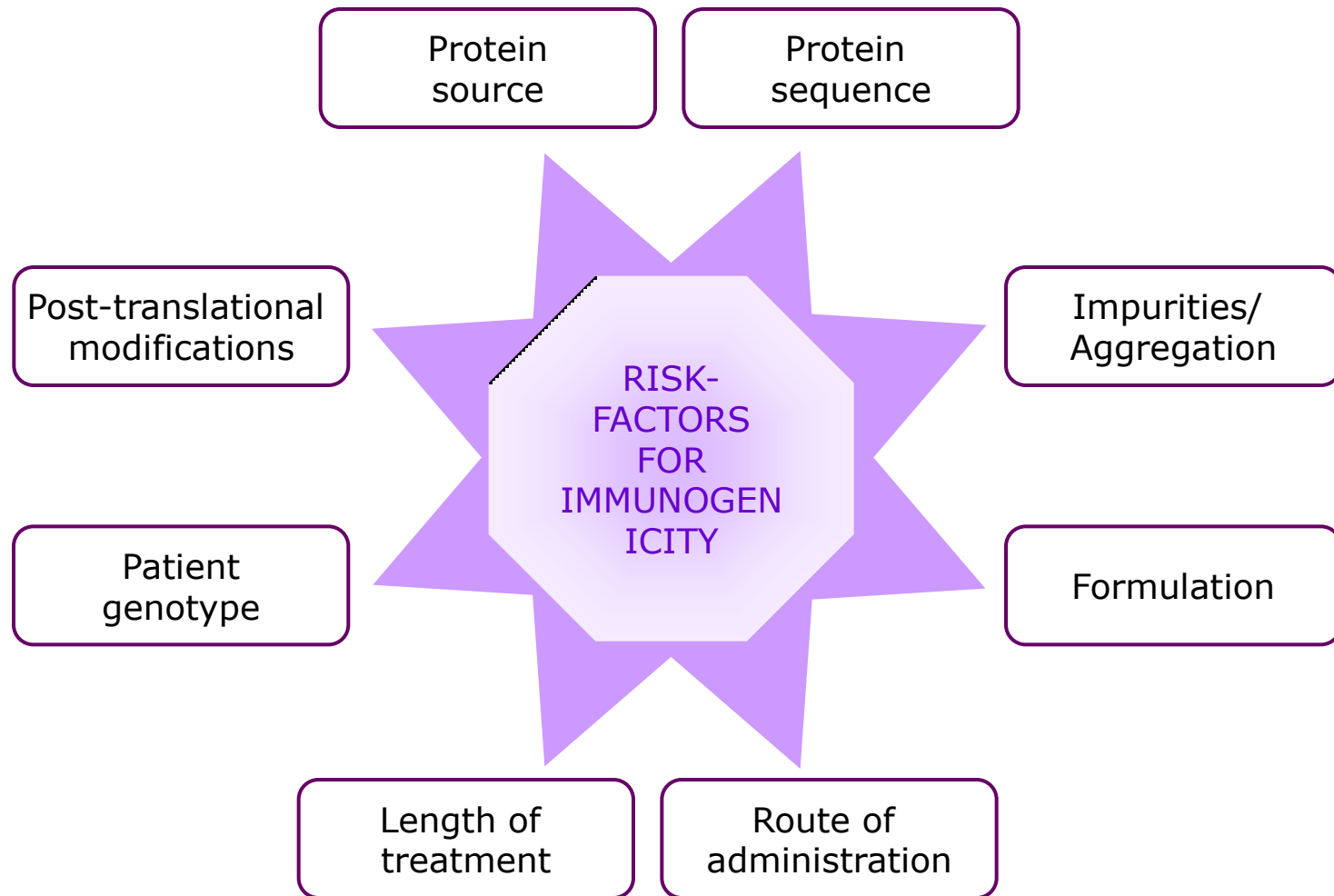
More than **200** biopharmaceutical proteins have now been approved

Widespread use of biopharmaceuticals has demonstrated that **nearly all** biologicals can elicit antibody responses

Antibodies to therapeutic proteins not only **compromise efficacy** but also cross-react with endogenous factors to cause **serious toxicity**

In non-clinical studies, anti-drug antibodies can **complicate** interpretation of the **toxicity**, **pharmacokinetic** and **pharmacodynamic** data

Immunogenicity is a complex phenomenon



For details see:

Rosenberg, A.S. & Worobec, A. Biopharm. Int. (2004) 17, 34–42

Immunogenicity: Managing risk

The risk of developing anti-drug antibodies cannot be eliminated but it **can be managed**

There is no prescribed method for risk determination; several variables must be considered to anticipate ADA incidence and ADA-mediated consequences. These variables may be:

- Product specific

- Host specific factors

- Related to the drug manufacturing process

Variables that affect incidence of anti-drug antibodies

Nature of the biological substance

Size and structural complexity

Sequence variation from endogenous protein (e.g. SNPs)

Post-translational & chemical modification (e.g. glycosylation, pegylation).

Conjugates

Nature of the formulated biologic

Formulation and storage conditions

Contaminants and impurities

Exposure of neoepitopes due to denaturation or fragmentation

Aggregates

Adjuvant potential of inactive ingredients

Target disease and population

Patient characteristics such as genetic background or concurrent illnesses

Natural tolerance to protein

Pre-existing immunodeficiency

Use of immunosuppressive drugs or chemotherapy

Concomitant medications (such as immunosuppressants like cyclosporin A)

Treatment regimen

Route of administration

Dose

Frequency of treatment

Length of treatment

Variables that affect the risk of adverse consequences due to anti-drug antibodies

The endogenous protein

Existence of an endogenous protein identical or similar in structure to the biologic.

If endogenous equivalent of the biologic exists, does it provide the sole activity or are there redundant endogenous molecules that can compensate or restore the mechanism of action?

Target disease and patient characteristics

Is the target indication a life-threatening disease?

Does the patient have endogenous protein?

Will the patient be immunosuppressed (owing to pre-existing illness or use of concomitant drugs)?

Treatment

Does the product replace the endogenous version?

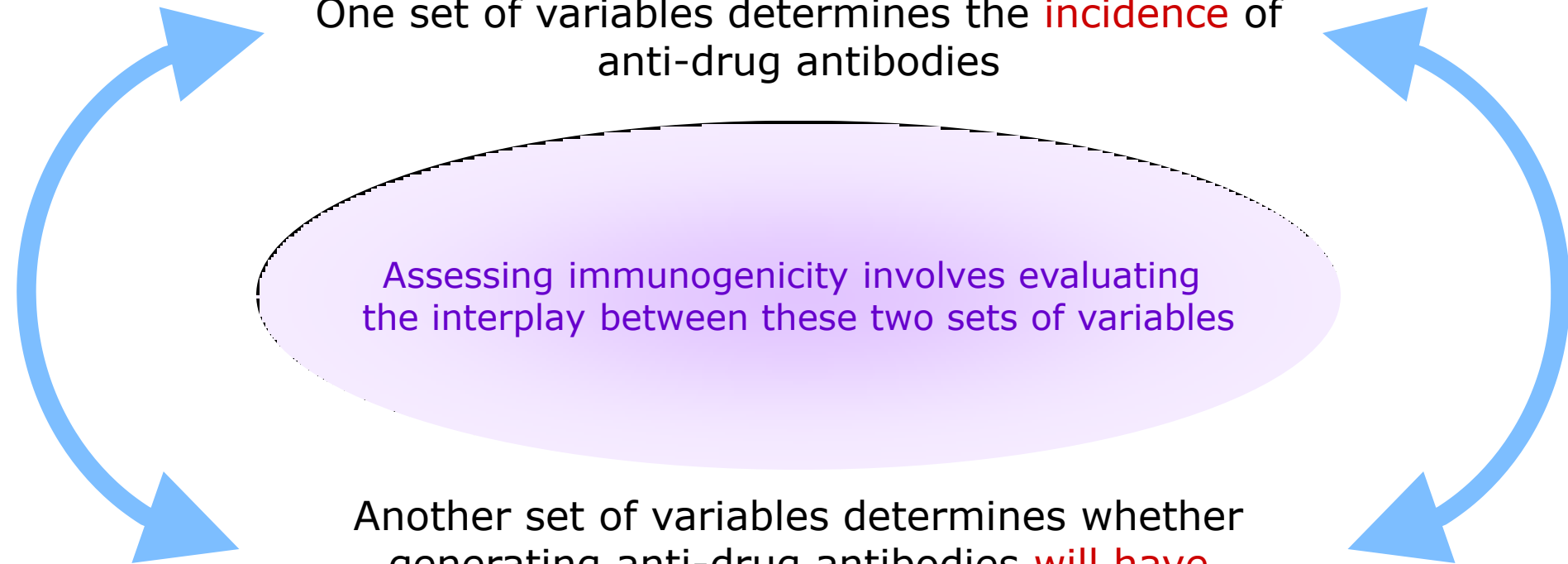
Will the product be the sole therapy in the market for that disease?

The development of anti-drug antibodies does not necessarily result in adverse consequences

One set of variables determines the **incidence** of anti-drug antibodies

Assessing immunogenicity involves evaluating the interplay between these two sets of variables

Another set of variables determines whether generating anti-drug antibodies **will have adverse consequences**



Evaluating anti-drug antibody responses and what to do with the results

Regulatory authorities in the United States recommend that ADA responses be evaluated:

- U.S. Department of Health and Human Services/Food and Drug Administration. Guidance for industry: premarketing risk assessment, March 2005

FDA recommends that immunogenicity be approached from a safety (risk based) perspective:

- FDA DRAFT Guidance for Industry: Assay development for immunogenicity testing of therapeutic proteins
- Rosenberg, A.S. & Worobec, A. A risk-based approach to immunogenicity concerns of therapeutic protein products—Part 1—considering consequences of the immune response to a protein. *Biopharm. Int.* **17**, 22–26 (2004).
- Rosenberg, A.S. & Worobec, A. A risk-based approach to immunogenicity concerns of therapeutic protein products—Part 2—considering host-specific and product-specific factors impacting immunogenicity. *Biopharm. Int.* **17**, 34–42 (2004).
- Rosenberg, A.S. & Worobec, A. A risk-based approach to immunogenicity concerns of therapeutic protein products—Part 3—effects of manufacturing changes in immunogenicity and the utility of animal immunogenicity studies. *Biopharm. Int.* **18**, 32–36 (2005).

The measurement of anti-drug antibodies

Immunoreactivity assays such as radioimmunoassay, surface plasmon resonance or enzyme-based solid-phase immunoassays, to detect anti-drug antibodies

Functional cell-based **bioassays** or target binding (receptor recognition) inhibition-based immunoassays for the characterization of the neutralizing antibodies subset of the anti-drug antibodies

These assays when used **together** allow a determination of whether an anti-drug antibody is a neutralizing antibody

Non-neutralizing antibodies are not necessarily benign

ANTIBODIES BIND TO ACTIVE SITE

ANTIBODIES AFFECT CONFORMATION OF ACTIVE SITE

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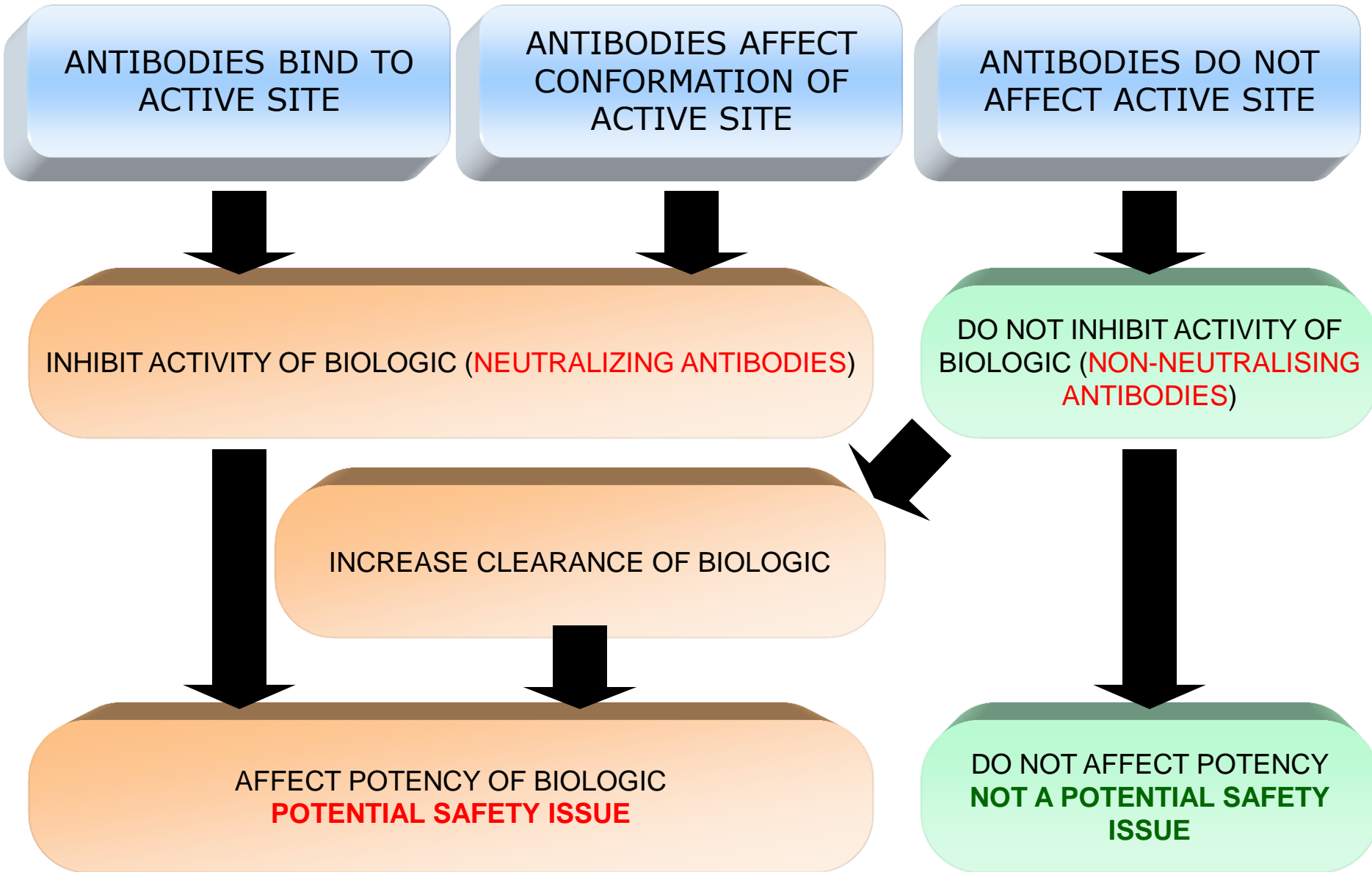
INHIBIT ACTIVITY OF BIOLOGIC (**NEUTRALIZING ANTIBODIES**)

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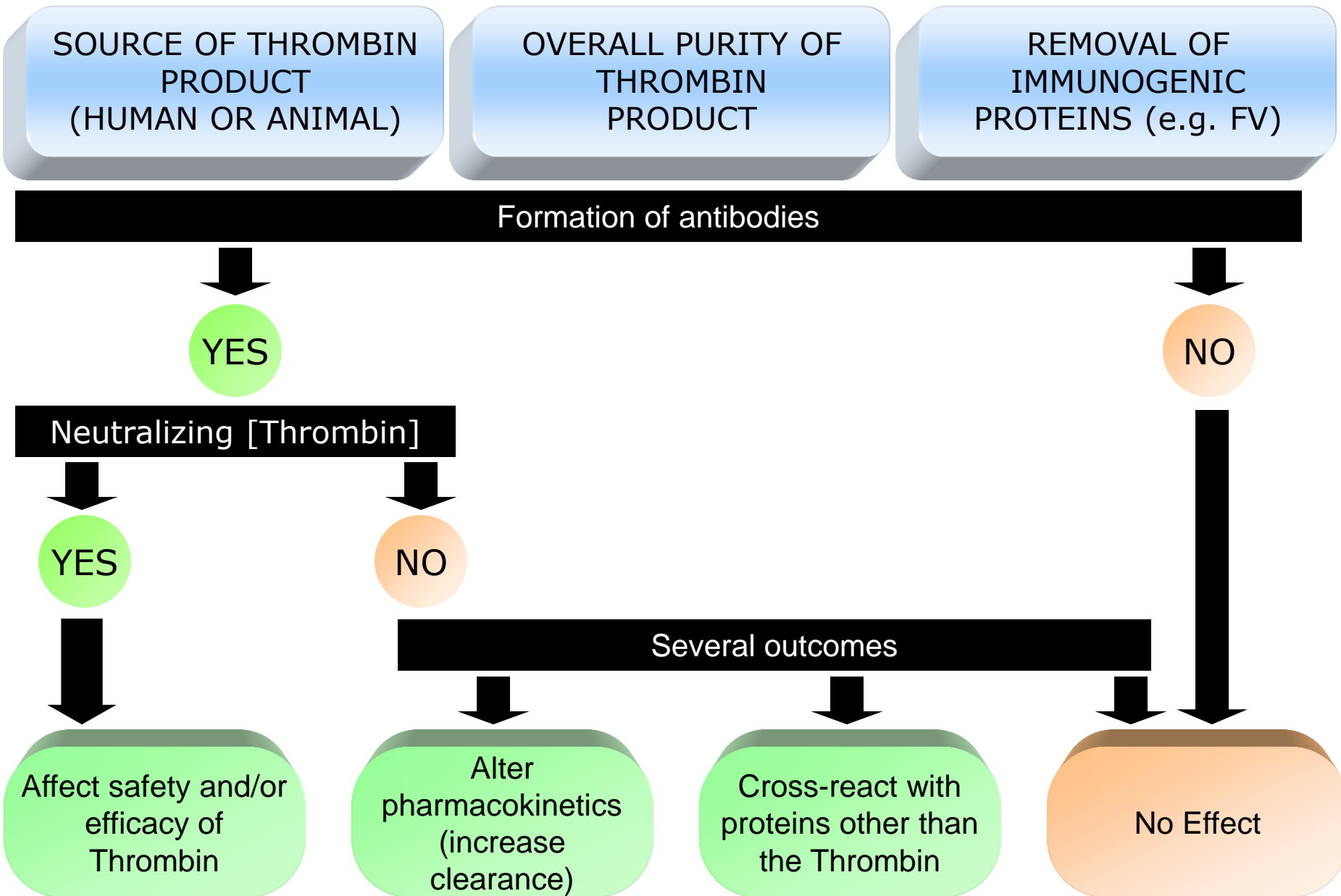
AFFECT POTENCY OF BIOLOGIC
POTENTIAL SAFETY ISSUE

DO NOT AFFECT POTENCY
NOT A POTENTIAL SAFETY ISSUE



Neutralizing antibodies: The example of thrombin

(For details see: [Kessler & Ortel Thromb Haemost \(2009\) 101: 15–24](#))



DRAFT Guidance for Industry: Assay development for immunogenicity testing of therapeutic proteins

Guidance for Industry Assay Development for Immunogenicity Testing of Therapeutic Proteins

Additional copies are available from:

*Office of Communication
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<http://www.fda.gov/AnimalVeterinary/GuidanceComplianceEnforcement/GuidanceforIndustry/default.htm>

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This is a draft document **NOT** finalized policy

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WEB LINK:

<http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM192750.pdf>

DRAFT Guidance for Industry: Assay development for immunogenicity testing of therapeutic proteins (contd.)

SCOPE

This guidance provides current considerations to facilitate industry's development of immune assays for assessment of the immunogenicity of therapeutic proteins during clinical trials. This document includes guidance for **binding assays, neutralizing assays, and confirmatory assays**. While the document does not specifically discuss the development of immune assays for animal studies, the concepts discussed are relevant to the qualification and validation of immune studies for preclinical evaluation of data.

This document does not discuss the product and patient risk factors that may contribute to immune response rates (immunogenicity).

Product life cycle and assay development

- FDA supports an evolving approach to assay development and validation during product development
- when immunogenicity poses a high-risk and real time data concerning patient responses are needed, the applicant should implement preliminary validated assays early (preclinical and phase 1)
- Applicant should bank patient samples so samples can be tested when suitable or improved assays become available
- FDA expects that the assays will be refined during product development
- At the time of license application, the applicant should provide data supporting full validation of the assays

Comparing the immunogenicity of different products

- Even though different companies developing similar products employ fully validated assays to assess immunogenicity, such assays will differ in a number of parameters
- These differences can make immunogenicity comparisons across products in the same class invalid
- A true comparison of immunogenicity across different products in the same class can best be obtained by conducting head-to-head patient trials using a standardized assay that has equivalent sensitivity and specificity for both products
- When such trials are not feasible, FDA recommends the applicant develop assays that are highly optimized for sensitivity, specificity, precision, and robustness
- In the product labeling, FDA does not recommend comparing the incidence of antibody formation between products when different assays are used

Designing an immunogenicity assay

- Sensitivity:

The assays should have sufficient sensitivity to detect clinically relevant levels of antibodies.

- Interference:

Assays results may be affected by interference from the matrix this potential effect should be evaluated.

- Functional or physiological consequences:

Immune responses may have multiple effects including neutralizing activity and ability to induce hypersensitivity responses, among others. Immunogenicity tests should be designed to detect such functional consequences.

- Risk based application:

The risk to patients of mounting an immune response to product will vary with the product.

Continuing immunogenicity assessments post-approval

Often the incidence of anti-drug antibodies and neutralizing antibodies in controlled clinical studies may not reliably reflect that seen during the post-approval stage due to:

- Larger numbers of exposed subjects
- More concomitant medications
- Repeated drug re-exposures
- Reduced patient treatment compliance

The risk assessment & management plan

- A sponsor should prepare a risk assessment and management plan in advance of human clinical trials
- Immunogenicity risk assessment should be decided case by case
- Extent of anti-drug antibody characterization should depend on the potential risk associated with anti-drug antibodies
- Several variables must be considered to anticipate the incidence and consequences of anti-drug antibodies
- There is no prescribed method for risk determination [some basic criteria can however be helpful in classifying therapeutic proteins into distinct safety risk categories]

Classification of therapeutic proteins into risk categories

ORIGIN	In general human or humanized protein therapeutics pose a lower risk than those of non-human origin
TARGET	If the target of the antibody is a non-human protein it poses a lower risk (this is not always true see example of bovine thrombin)
STRUCTURE	If the structure of the protein targeted by inhibitory antibodies is identical or similar to that of an endogenous protein there is a higher safety risk

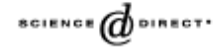
Immunogenicity & regulatory documents



Review

TRENDS in Biotechnology Vol.24 No.6 June 2006

Full text provided by www.sciencedirect.com



Scientific and regulatory considerations on the immunogenicity of biologics

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Explicit or implicit references to immunogenicity can be found in many regulatory documents this reference provides an exhaustive listing of quotes from such documents

Summary

Evaluation of immunogenicity is required for the licensure of almost all biologics

Immunogenicity is a complex phenomenon and a 'one size fits all' approach cannot be advocated

FDA advocates a risk-assessment and risk-management approach to immunogenicity during drug development

FDA recognizes that assay development poses challenges to applicants and supports an evolving approach to assay development and validation during product development

Early communication and ongoing interactions with FDA is encouraged

Draft Guidance available for download at:

<http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM192750.pdf>

Amanda Turner or I can also e-mail you a copy:

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