



• PHARMACOKINETICS •  
DYNAMICS & METABOLISM

*Biotherapeutic Immunogenicity Risk Factors  
– the science, reliability, and concepts for  
implementing predictive tools to improve  
their reliability*

Mastering Immunogenicity, Cambridge, MA 12 Sep 2011

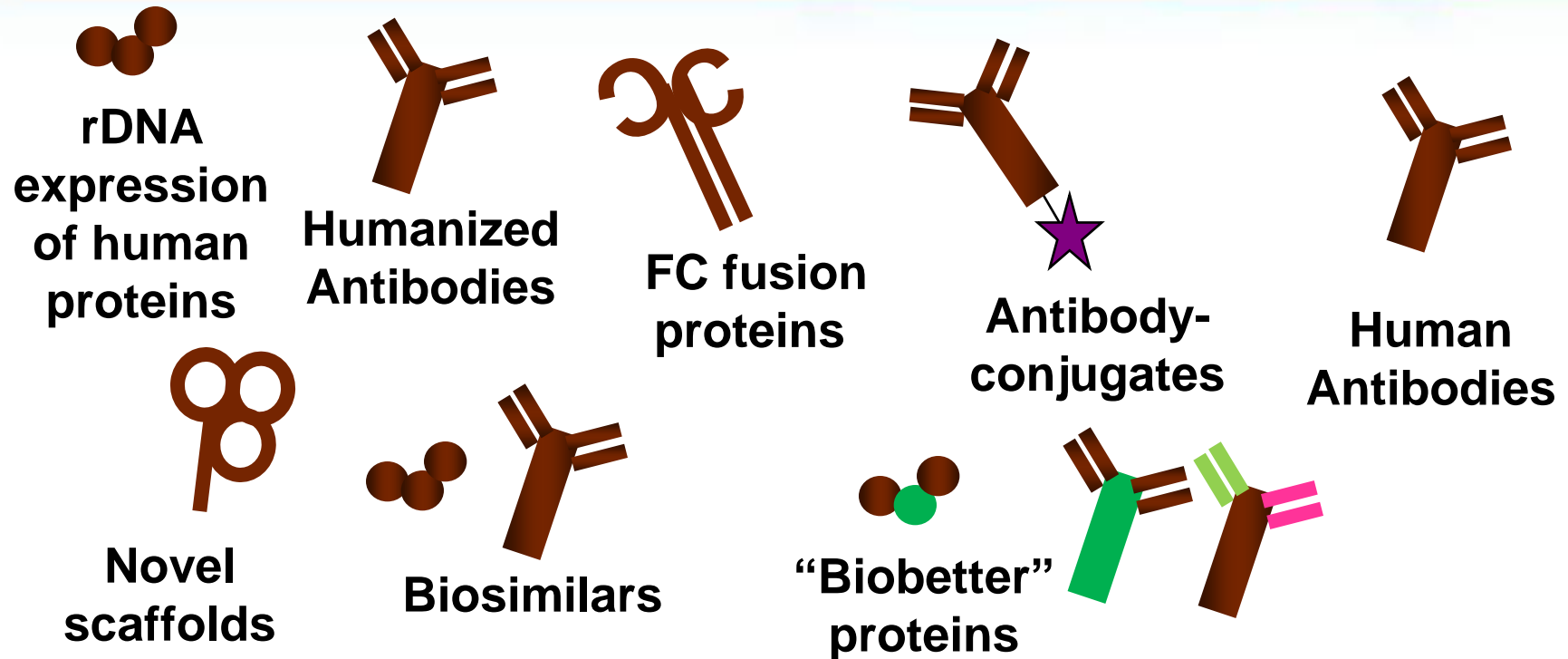
Bonita Rup, Pfizer



# Concepts:

- All biotherapeutics potentially immunogenic **under some circumstances**
- Risk varies considerably among products, patient populations and treatment regimens.
- Immunogenicity risk & mitigation planning well established, intended to focus resources where needed, continuing to evolve as does biotherapeutics field.
- Although based on immunological science, predictive value of risk factors needs improvement **in order to improve and refine management and mitigation strategies.**

# 4 Decades in Biotechnology: Evolution of Protein Therapies

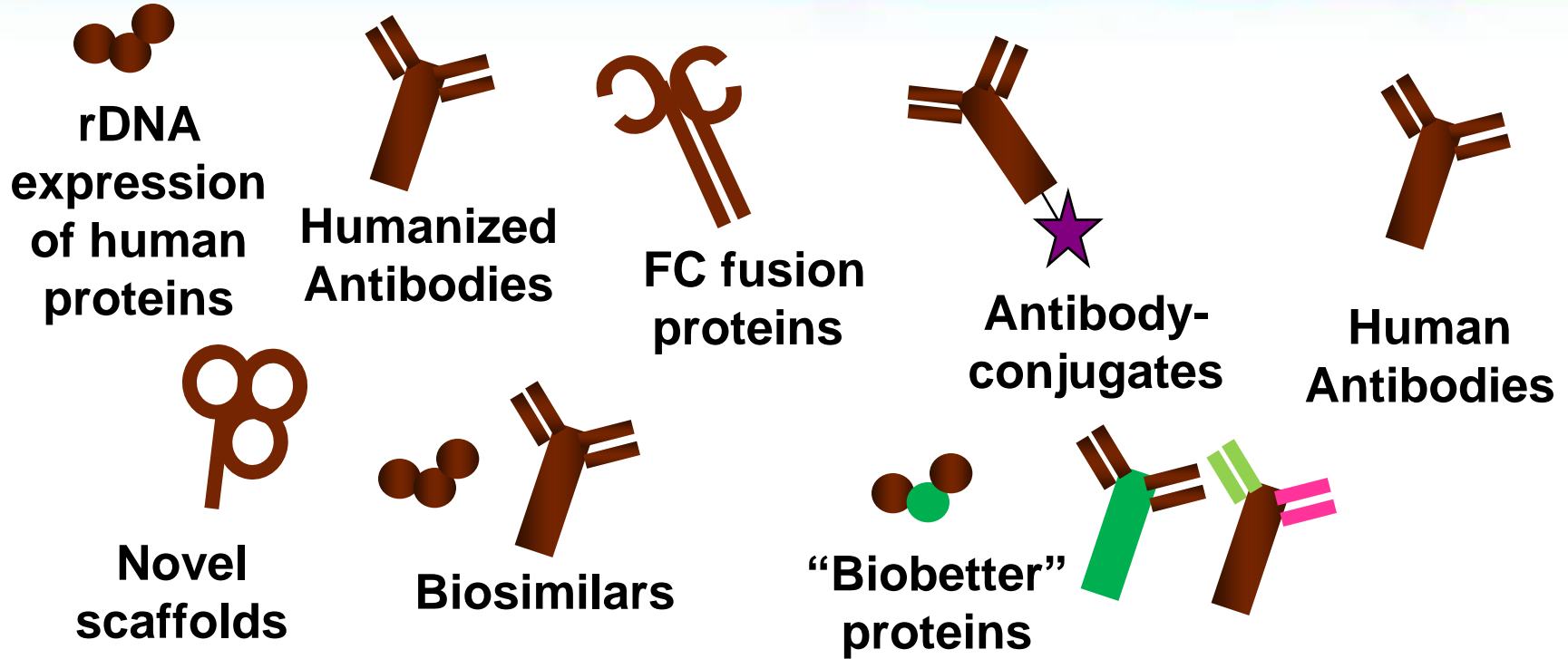


**IV injection/  
infusions**

**Subcutaneous  
administration**

**Alternative  
delivery  
routes/ forms**

# 4 Decades in Biotechnology: Evolution of Protein Therapies

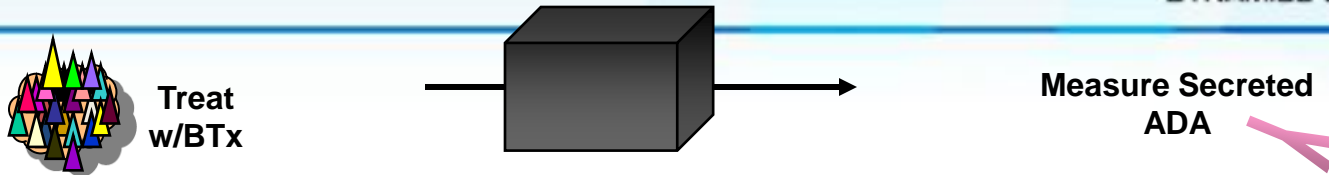


**IV injection/  
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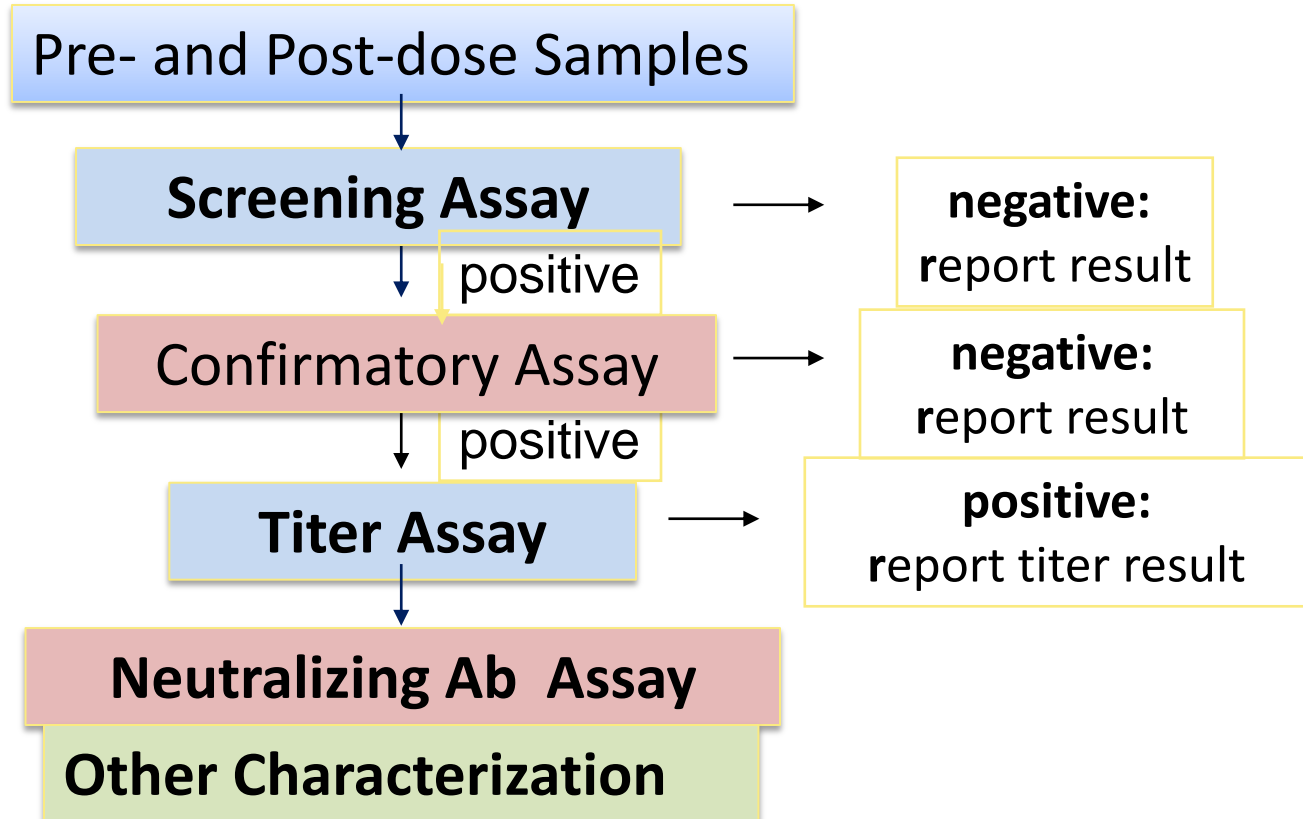
**Subcutaneous  
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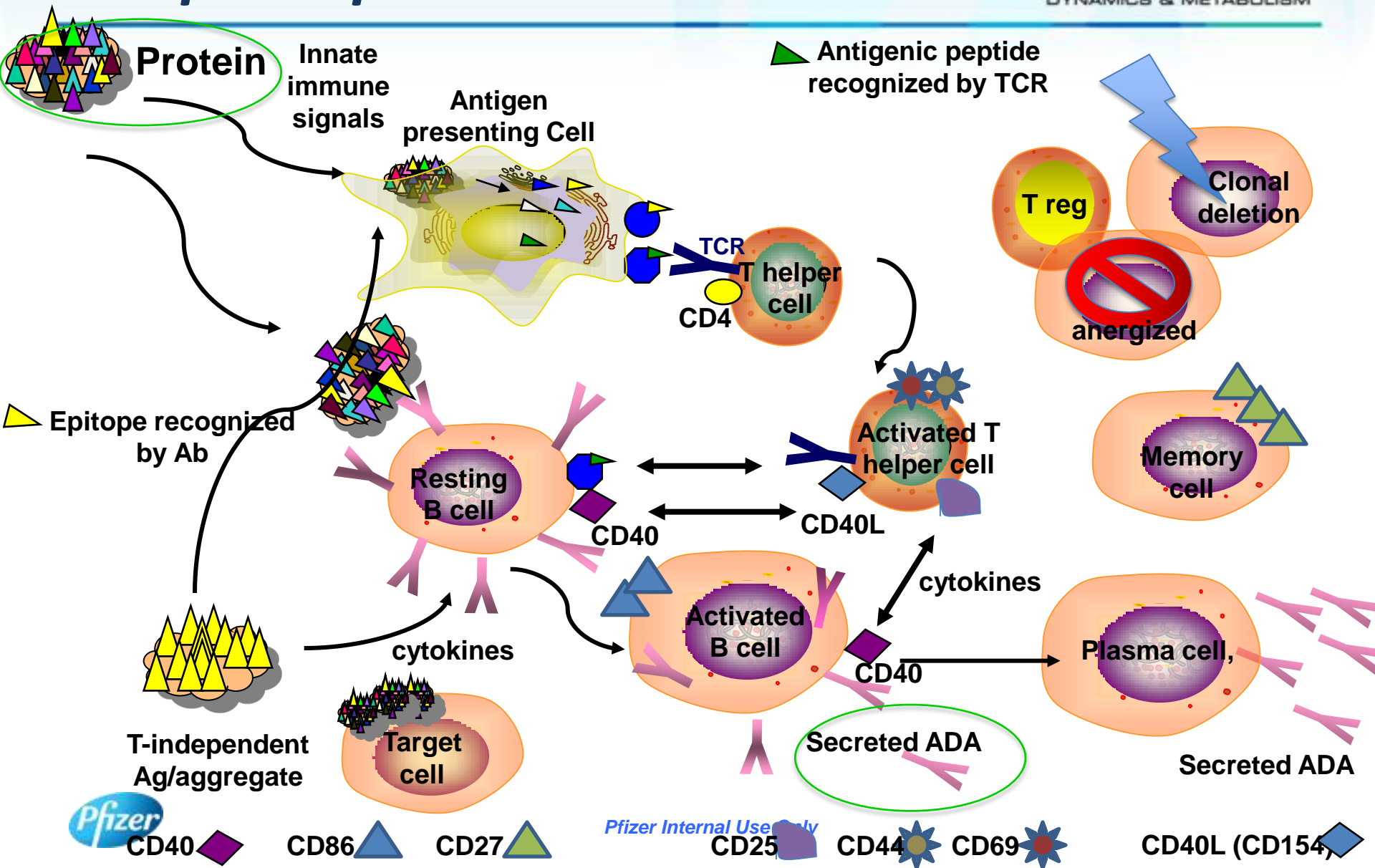
# BTx Immunogenicity Assessment



## Tiered Anti-Drug Antibody Testing



# Immune response to a therapeutic protein



# Risk-Based Approach



## A Risk-Based Approach to Immunogenicity Concerns of Therapeutic Protein Products

*Part 2* Considering Host-Specific and Product-Specific  
Factors Impacting Immunogenicity

Amy S. Rosenberg, M.D. and  
Alexandra S. Worobec, M.D.

**R**ecause all protein therapeutics are  
potentially immunogenic, FDA has  
developed a risk assessment strat-

This may be due to the severe depletion  
of CD4+ T cells which are replenished  
slowly and are required for development

BioPharm International [www.biopharminternational.com](http://www.biopharminternational.com) December 2004

- ~ **1999-2002 adverse event reports (rErythropoetin, rThrombopoetin)**
  - Pure Red Cell Aplasia & Thrombocytopenia reports related to Erythropoetin and Thrombopoetin NAb development
  - Epo traced to changes in administration route, removal of HSA, Tween 80 in manufacturers' process
- ~ **1993 adverse events (plasma-derived Factor VIII)**
  - Reports of increased pdFVIII inhibitor (NAb) in low risk population traced to manufacturing change (viral inactivation step)

# What factors may influence development of an immune response?

<b>Risk Category</b>	<b>Risk Factor</b>
Product-related	Presence of foreign amino acids, structures
	Unusual post-translational modification
	Level of aggregates/impurities/degradants
	Presence of promiscuous MHC epitopes
	Self-protein in non-tolerizing environment
	Product Biology/Pharmacology
Patient/Subject Population-related	Immune status of patients
	Genetic profile (incl. HLA)
	Underlying disease
	Target biology
	Pre-existing antibodies
Treatment-related	Route of administration
	Dosing frequency
	Concomitant medications



# What factors may influence consequences of an immune response?

<b>Risk Category</b>	<b>Risk Factor</b>
Product-related	Presence of endogenous counterpart
	Unique activity of counterpart
Patient/Subject Population-related	Compounding effect of existing deficiency
	Life-threatening disease
	Non-reversible/treatable AEs
	Replacement therapy
Treatment-related	Availability of alternative treatment
	Multiple/chronic treatment needed
	Concomitant medications

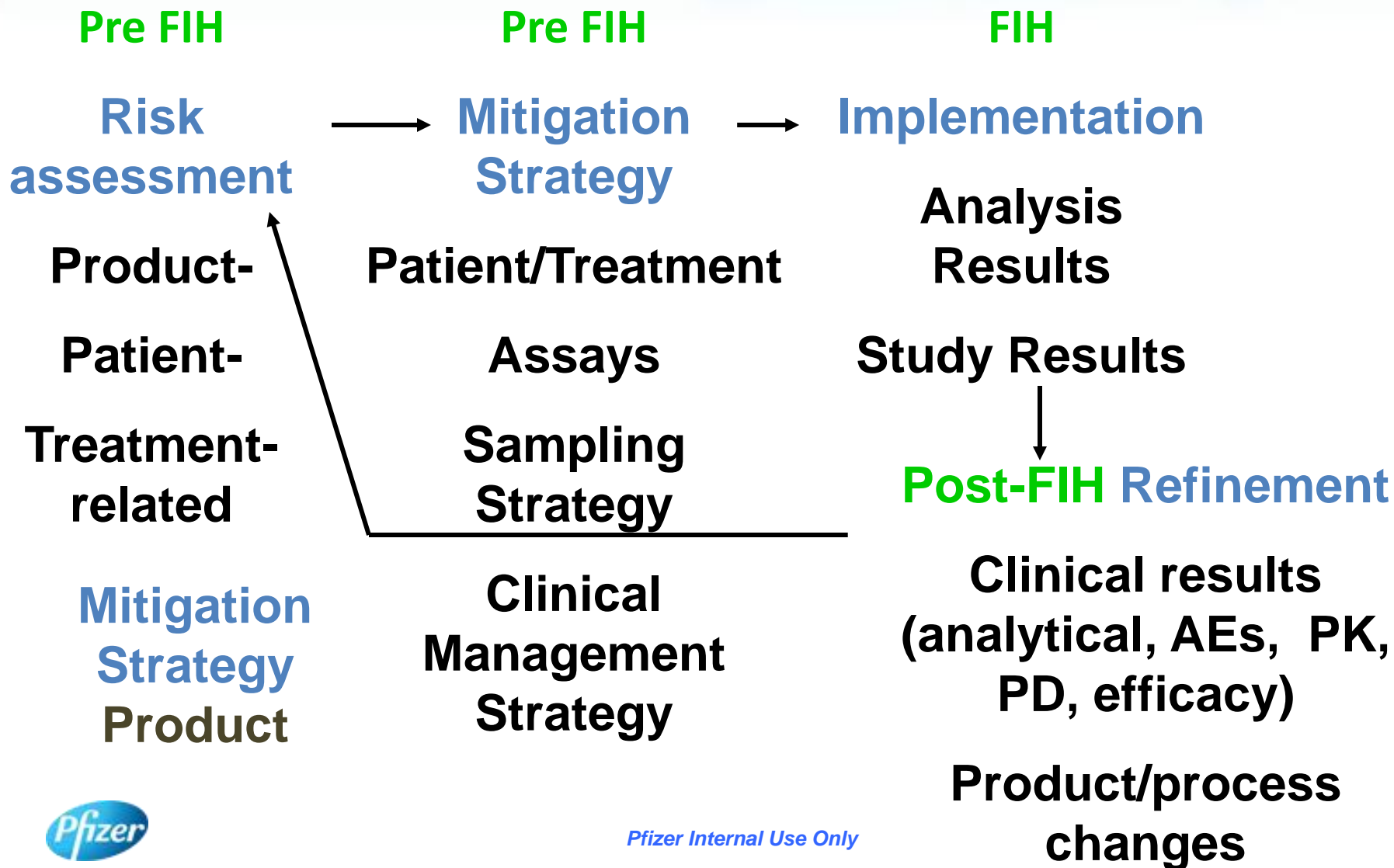
Neutralization of non-redundant endogenous counterpart?

Anaphylaxis?  
Other hypersensitivity?  
Immune complex disease?

Loss of effect?

Mild infusion rxn?

# Immunogenicity Risk and Mitigation Planning



# *Implementation of Risk Based Approach*



**Use risk assessment to support design clinical analysis strategy. Examples:**

## **Selection of study population**

**Patients with lower risk in earlier studies**

**Different populations depending on risk category (development vs consequences)**

## **Type of testing to be conducted**

**Sensitivity of assays, orthogonal/characterization methods**

## **Timing of sample collection & analysis**

**More samples early vs frequency**

**Drug levels cleared**

**Monitor for transience/persistence**

**Rapid turn-around of results**



# Stage-Related Risk Questions



## Candidate selection:

- Is any candidate likely to induce immunogenicity; which has highest risk?
- Will presence of foreign sequences (or other risk factor) result in increased immunogenicity; what mitigation strategy is most likely to decrease risk?



## Nonclinical Development:

- Will ADA development limit the interpretability of my study; is the immunogenicity seen in nonclinical studies based on a translatable risk factor; findings relevant to humans?
- Will aggregates/post-translational mods/impurities result in increased immunogenicity?
- What mitigation strategies are most likely to decrease risk?



## Early Clinical Development:

- If pre-existing x-reactive antibodies are present, are they likely to increase after dosing?
- Is ADA observed after a single dose likely to increase or decrease after repeat dosing?
- What consequences are likely to occur? What mitigation strategies are most likely to decrease/maintain risk profile?



## Later Clinical Development

- Will a change in (manufacturing, dosing regimen, indication, patient population, assays), result in a change in immunogenicity profile?

# Example

**Protein x is an Fc fusion protein**

**Fc contains mutations x, y, x**

**Linker has unique sequence**

**Non-Fc portion has endogenous counterpart**

**Subcutaneous route of administration**

**Intended for chronic treatment of inflammatory disease**

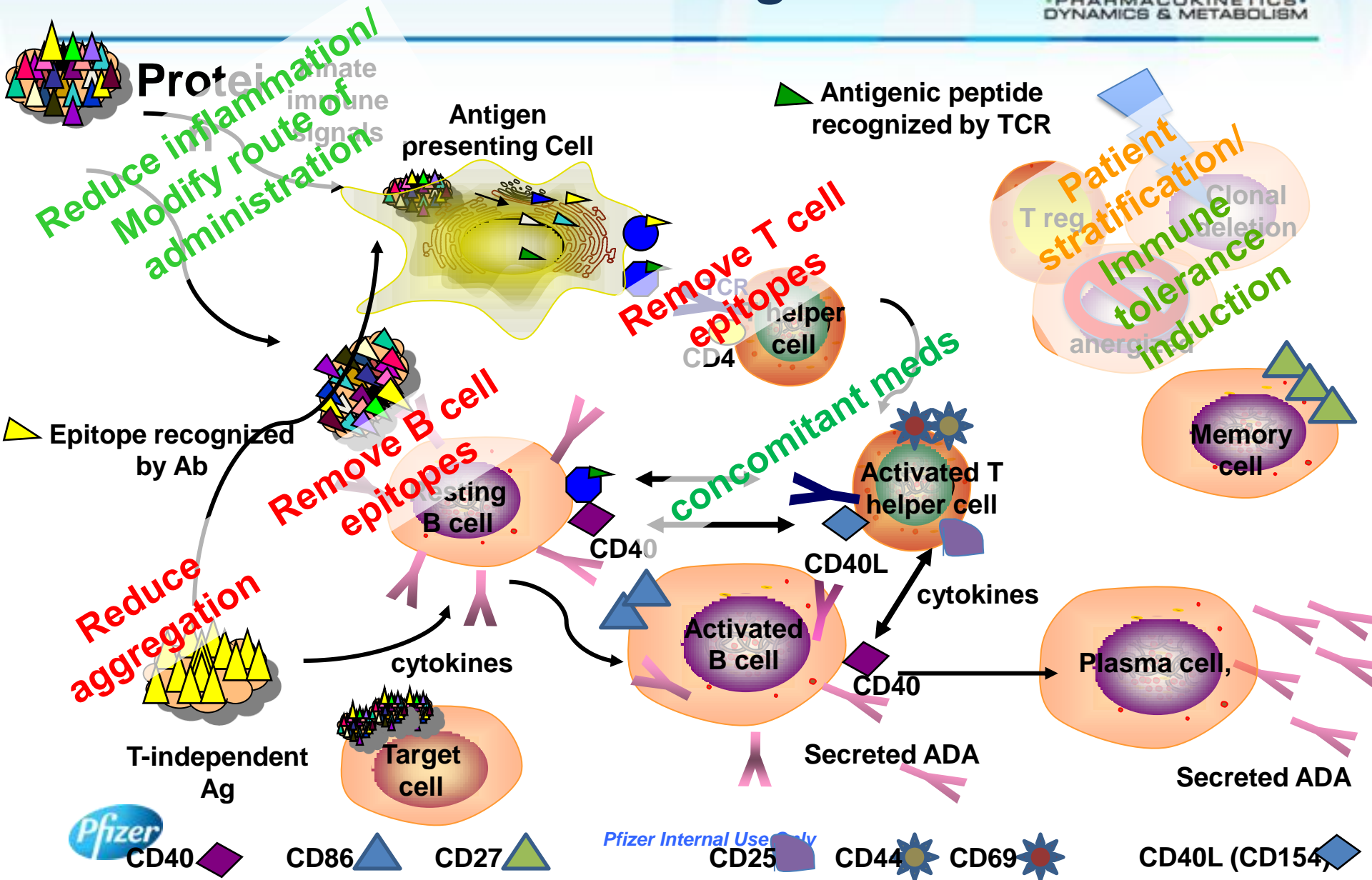
**ADA development multiple dose toxicity studies**

**Hypersensitivity reactions**

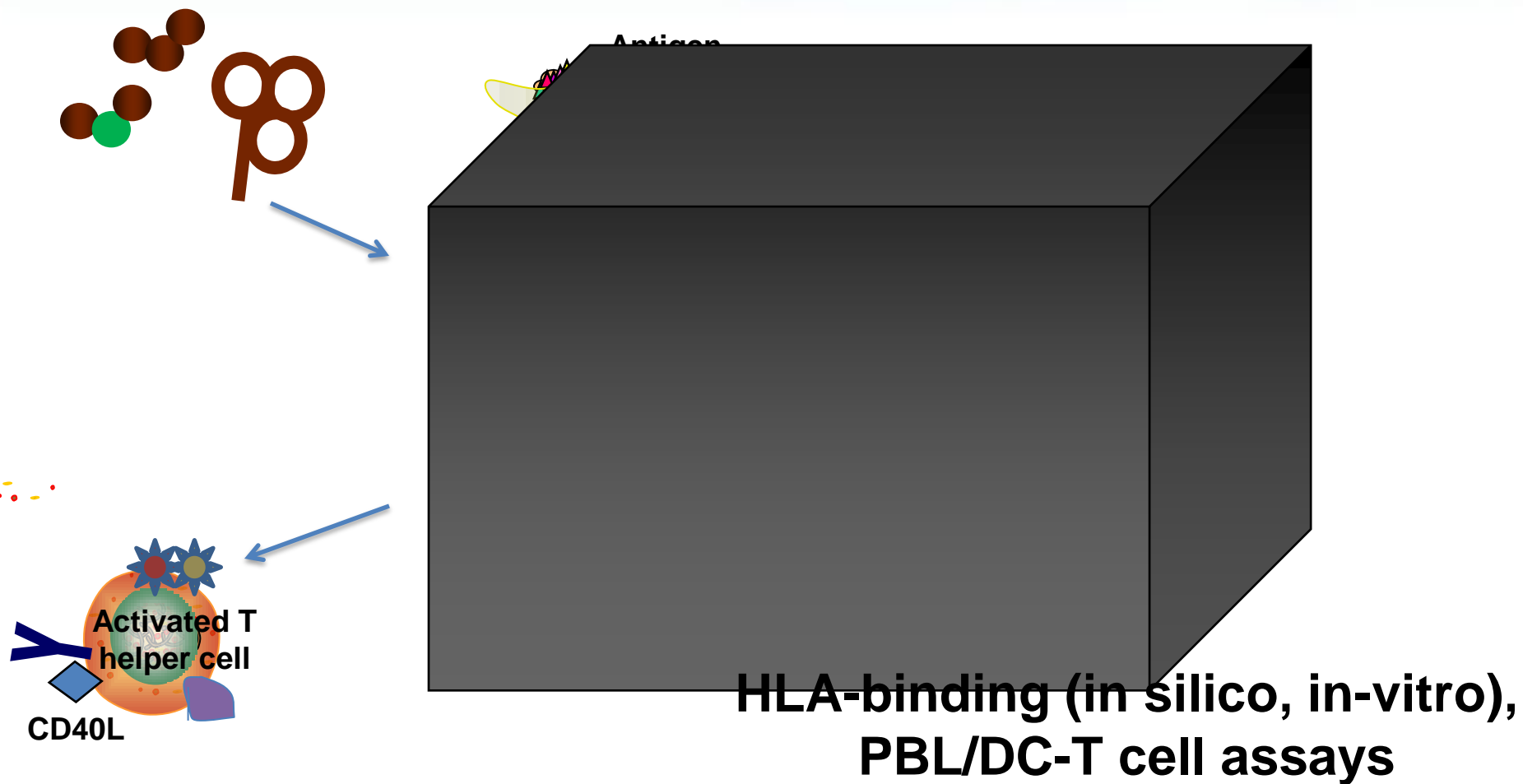
**Low titer ADA development single dose FIH study**

**No clinical sequelae observed**

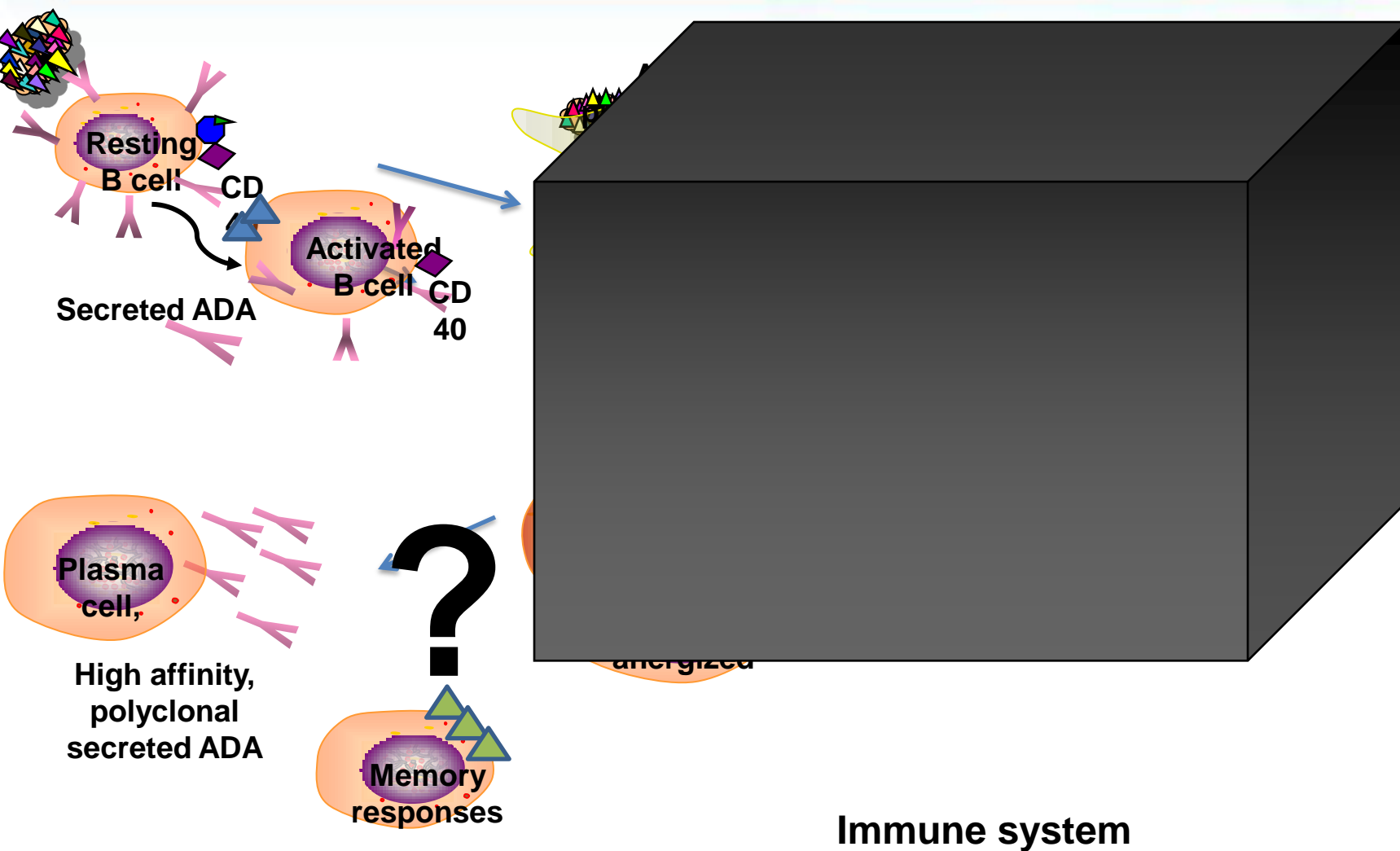
# Goal: Mitigation Strategies Focus on Factors Predicted to Generate Highest Risk



# Risk Factor: Foreign Sequence



# Risk Factor: Pre-existing ADA

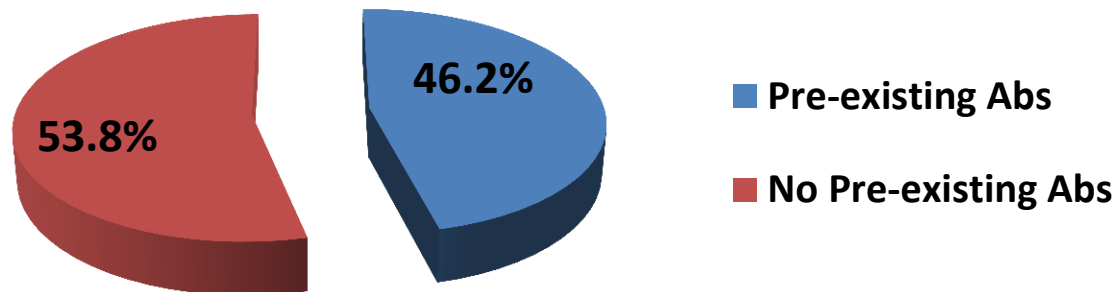




# *Pre-Existing Abs to Biotherapeutics are relatively common*

**Survey of historical clinical immunogenicity data analysis:  
13 biotherapeutics evaluated in ~ 40 clinical studies.**

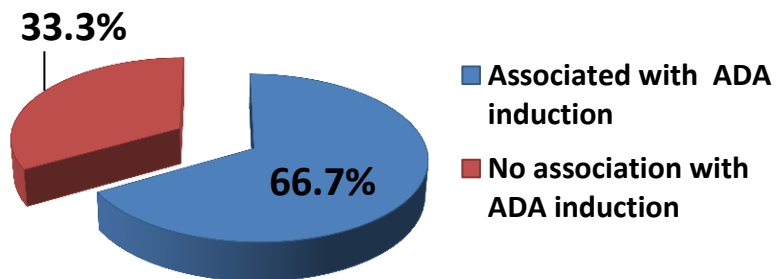
## **Products with Pre-Existing Abs**



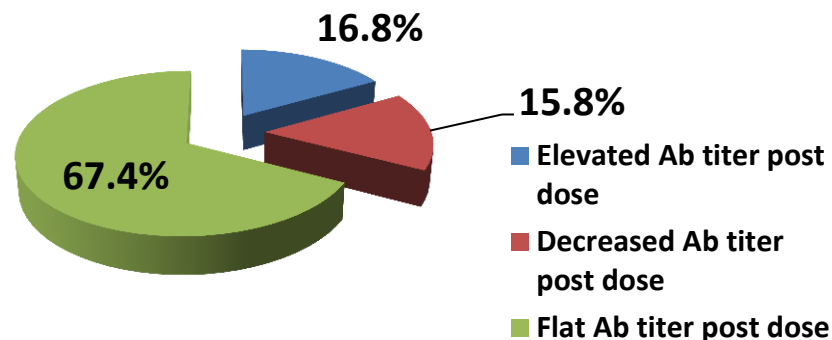
# Unclear Association of Pre-Existing Abs to Immunogenicity Risk

## Comparison of pre-existing antibodies with post treatment ADA induction

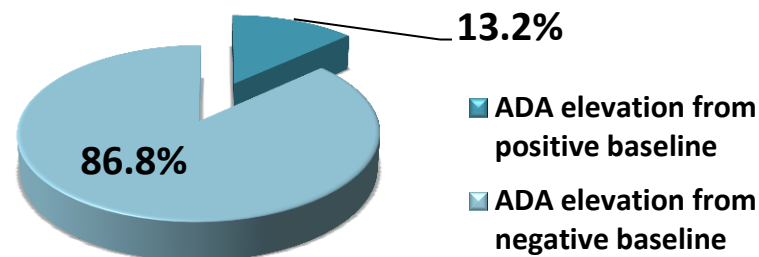
### Products with Pre-Existing Abs



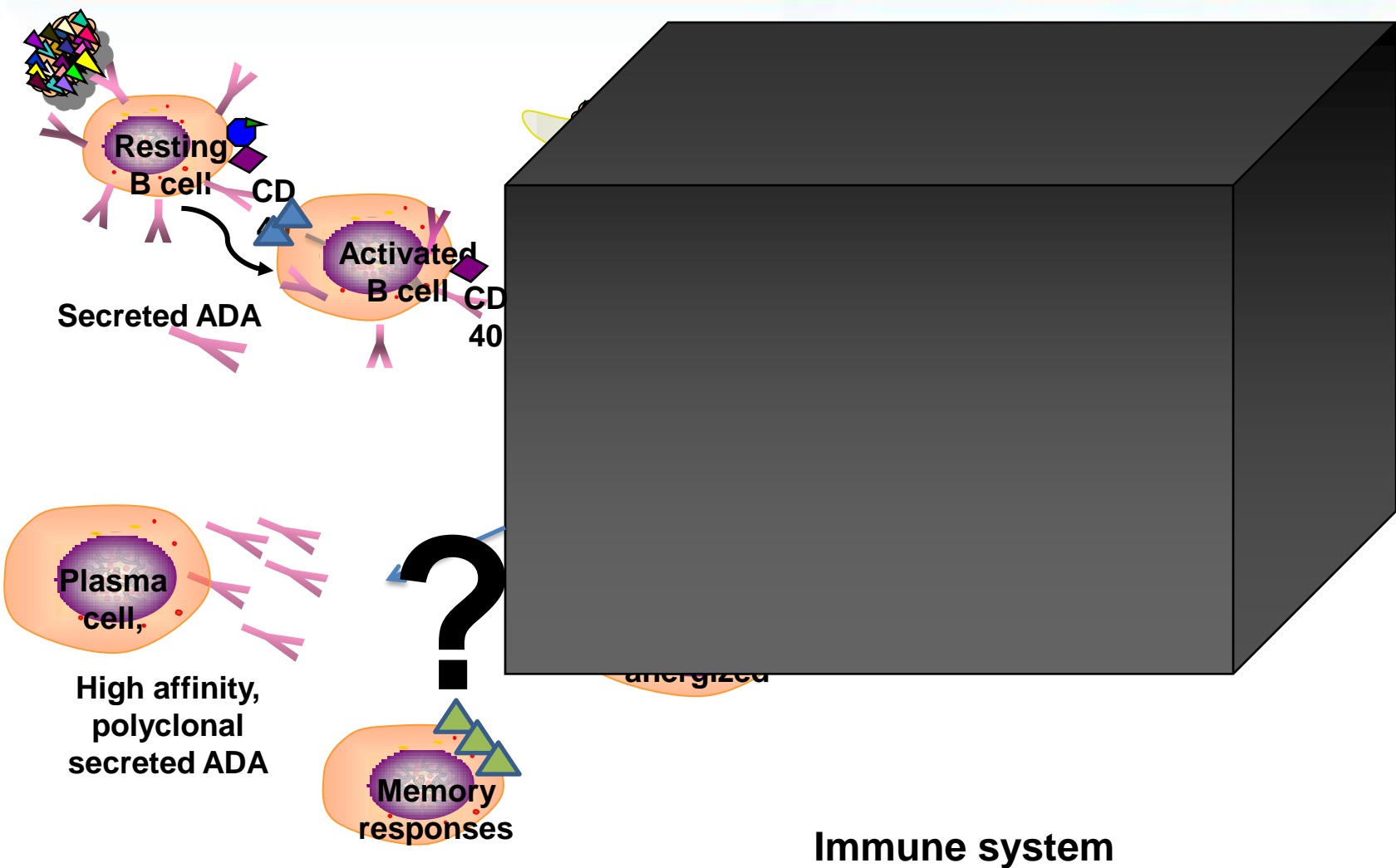
### Subjects Positive for Pre-Existing Abs



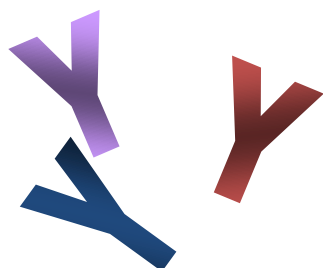
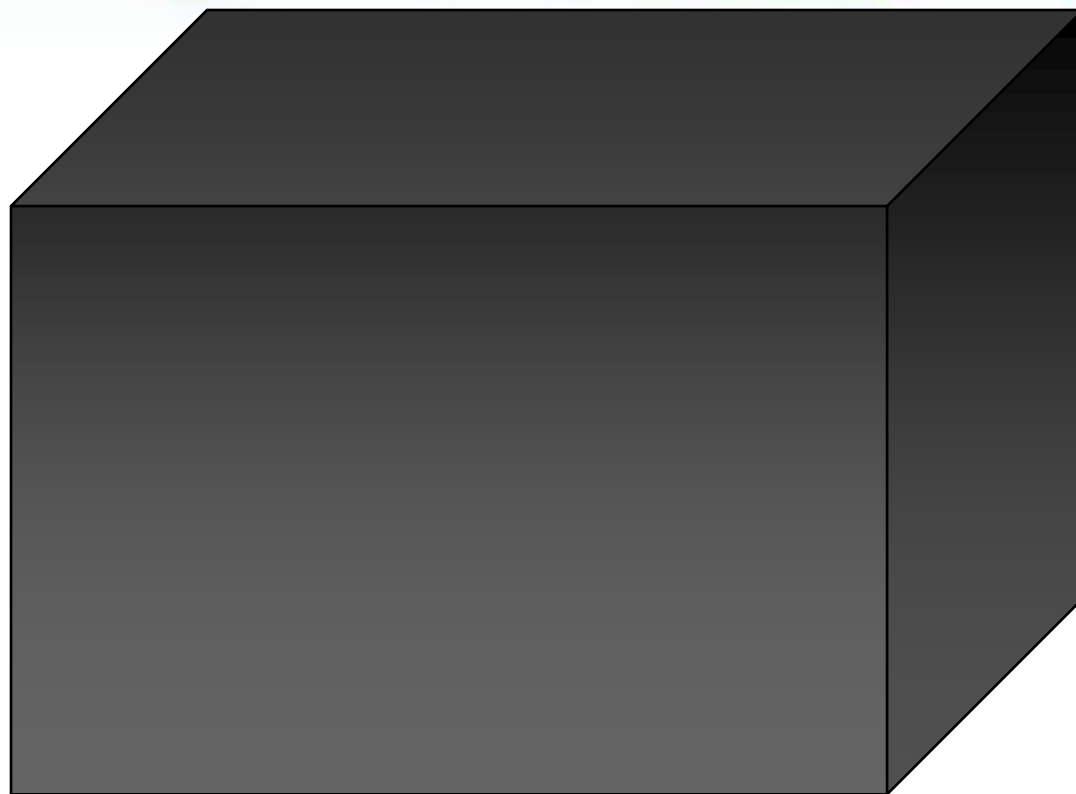
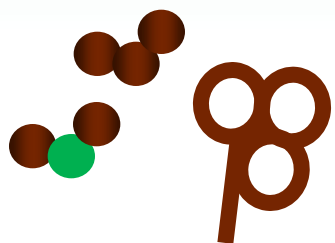
### Subjects from Studies Showing Pre-Existing Abs



# Risk Factor: Pre-existing ADA



# Risk Factor: Foreign Sequence



ADA  
response

Immune system

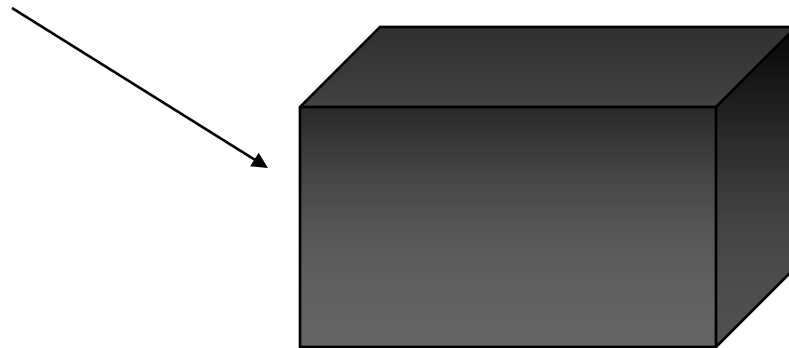
*Will immunogenicity profile change if  $x$  changes?  $X =$*

**Study patient population**

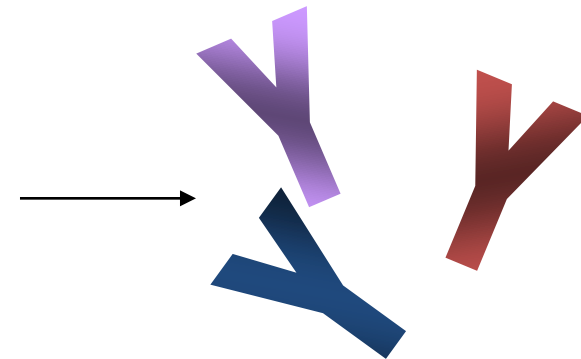
**Route of administration**

**Product formulation**

**Manufacturing process**

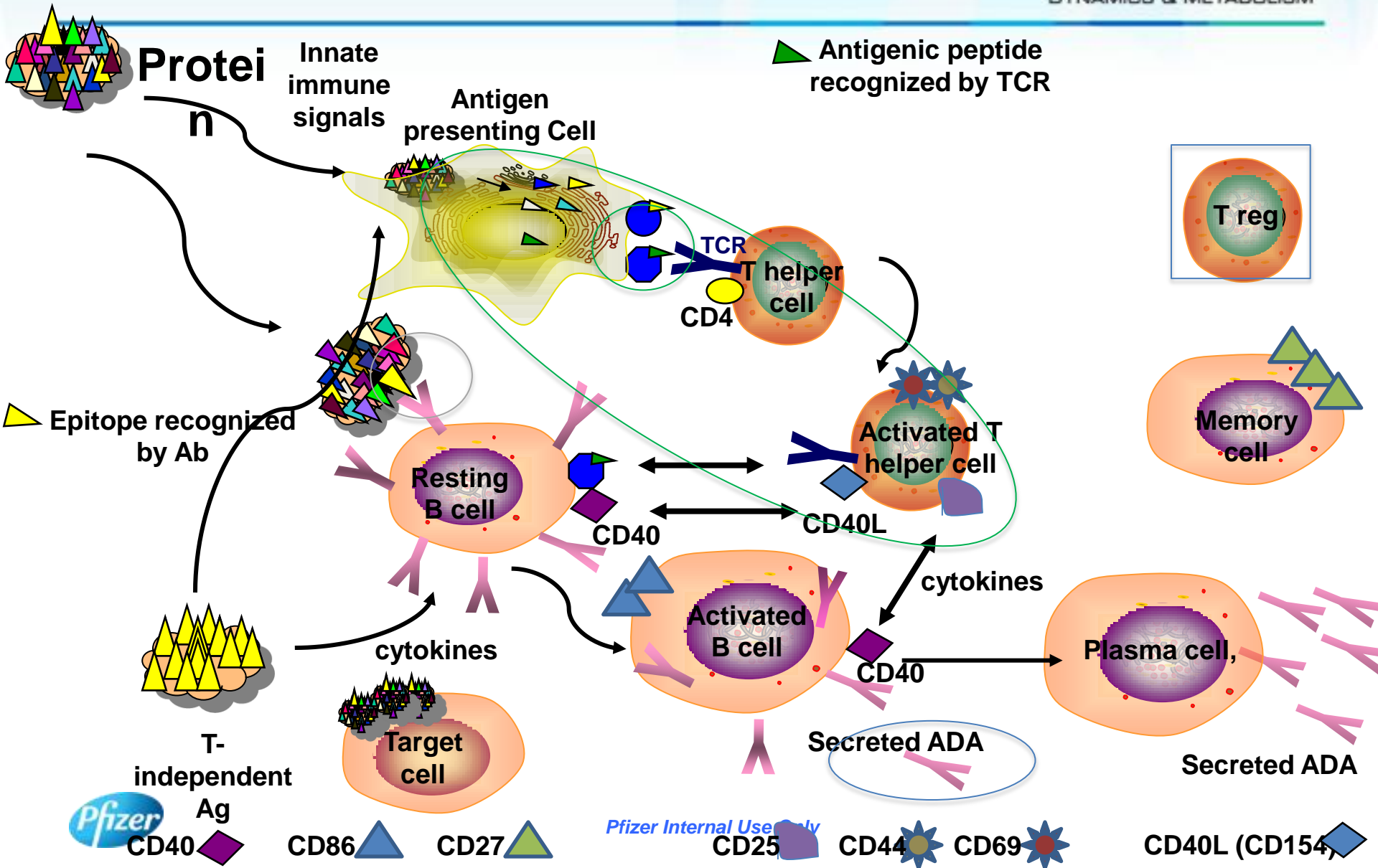


**Immune system**



**ADA  
response?**

# “Predictive tools” = Markers for Risk Factors?



**Immunogenicity risk assessment is an expected aspect of biopharmaceutical development planning**





**Risk factors have been identified, but degree to which any risk factor increases probability of immune response & how different risk factors “interact” are poorly understood**

**Use risk assessment to guide nonclinical/clinical testing strategies**

**Need for tools to better understand key risk factors, increase confidence in decision making**

# Acknowledgements



-  Pfizer PDM Colleagues, including
-  Li Xue
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-  Boris Gorovits